COLLEGES AND UNIVERSITIES RATE AGREEMENT

EIN: 1720423889A2
DATE: 05/18/2015
ORGANIZATION:
FILING REF.: The preceding
Tulane University
agreement was dated
7029C Freret St.
02/18/2014
New Orleans, LA 70118-5698

The rates approved in this agreement are for use on grants, contracts and other
agreements with the Federal Government, subject to the conditions in Section III.

SECTION I: INDIRECT COST RATES

<table>
<thead>
<tr>
<th>RATE TYPES:</th>
<th>FIXED</th>
<th>FINAL</th>
<th>PROV. (PROVISIONAL)</th>
<th>PRED. (PREDETERMINED)</th>
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</thead>
<tbody>
<tr>
<td>TYPE</td>
<td>FROM</td>
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<td>RATE(%) LOCATION</td>
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<tr>
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<td>Until Amended</td>
<td>Use same rates and conditions as those cited for FYE 06/30/2017.</td>
<td></td>
</tr>
</tbody>
</table>

*BASE
Modified total direct costs, consisting of all direct salaries and wages, applicable fringe benefits, materials and supplies, services, travel and up to the first $25,000 of each subaward (regardless of the period of performance of the subawards under the award). Modified total direct costs shall exclude equipment, capital expenditures, charges for patient care, rental costs, tuition remission, scholarships and fellowships, participant support costs and the portion of each subaward in excess of $25,000. Other items may only be excluded when necessary to avoid a serious inequity in the distribution of indirect costs, and with the approval of the cognizant agency for indirect costs.
<table>
<thead>
<tr>
<th>TYPE</th>
<th>FROM</th>
<th>TO</th>
<th>RATE (%)</th>
<th>LOCATION</th>
<th>APPLICABLE TO</th>
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<tr>
<td>FIXED</td>
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<td>6/30/2015</td>
<td>19.00</td>
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<td>Uptown Faculty</td>
</tr>
<tr>
<td>FIXED</td>
<td>7/1/2014</td>
<td>6/30/2015</td>
<td>23.50</td>
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<td>Uptown Staff</td>
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<tr>
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<tr>
<td>FIXED</td>
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<td>Use same rates and conditions as those cited for fiscal year ending June 30, 2016.</td>
</tr>
</tbody>
</table>

**DESCRIPTION OF FRINGE BENEFITS RATE BASE:**
Salaries and wages.
SECTION II: SPECIAL REMARKS

TREATMENT OF FRINGE BENEFITS:

The fringe benefits are charged using the rate(s) listed in the Fringe Benefits Section of this Agreement. The fringe benefits included in the rate(s) are listed below.

TREATMENT OF PAID ABSENCES

Vacation, holiday, sick leave pay and other paid absences are included in salaries and wages and are claimed on grants, contracts and other agreements as part of the normal cost for salaries and wages. Separate claims are not made for the cost of these paid absences.

OFF-CAMPUS DEFINITION: For all activities performed in facilities not owned by the institution and to which rent is directly allocated to the project(s), the off-campus rate will apply. Actual costs will be apportioned between on-campus and off-campus components. Each portion will bear the appropriate rate.

Equipment Definition - Equipment means an article of nonexpendable, tangible personal property having a useful life of more than one year and an acquisition cost of $2,500 or more per unit.

FRINGE BENEFITS:

FICA
Retirement
Worker's Compensation
Life Insurance
Unemployment Insurance
Health Insurance
Tuition Remission
Early Retirement Payout
Sabbatical Leave
Termination Pay
Day Care Subsidy

Your next fringe benefit proposal based on actual costs for the fiscal year ending 06/30/15 is due in our office by 12/31/15. Your next indirect cost proposal based on actual costs for the fiscal year ending 06/30/16 is due in our office by 12/31/16.
SECTION III: GENERAL

A. LIMITATIONS:
The rates in this Agreement are subject to any statutory or administrative limitations and apply to a given grant, contract or other agreement only to the extent that funds are available. Acceptance of the rates is subject to the following conditions: (1) Only costs incurred by the organisation were included in its facilities and administrative cost pools as finally accepted, such costs are legal obligations of the organisation and are allowable under the governing cost principles; (2) The same costs that have been treated as facilities and administrative costs are not claimed as direct costs; (3) Similar types of costs have been accorded consistent accounting treatment; and (4) The information provided by the organisation which was used to establish the rates is not later found to be materially incomplete or incorrect by the Federal Government. In such situations the rate(s) would be subject to renegotiation at the discretion of the Federal Government.

B. ACCOUNTING CHANGES:
This Agreement is based on the accounting system purported by the organisation to be in effect during the Agreement period. Changes in the method of accounting for costs which affect the amount of reimbursement resulting from the use of this Agreement require prior approval of the authorised representative of the cognisant agency. Such changes include, but are not limited to, changes in the charging of a particular type of cost from facilities and administrative to direct. Failure to obtain approval may result in cost disallowances.

C. FIXED RATES:
If a fixed rate is in this Agreement, it is based on an estimate of the costs for the period covered by the rate. When the actual costs for this period are determined, an adjustment will be made to a rate of a future year(s) to compensate for the difference between the costs used to establish the fixed rate and actual costs.

D. USE BY OTHER FEDERAL AGENCIES:
The rates in this Agreement were approved in accordance with the authority in Title 2 of the Code of Federal Regulations, Part 200 (2 CFR 200), and should be applied to grants, contracts and other agreements covered by 2 CFR 200, subject to any limitations in A above. The organisation may provide copies of the Agreement to other Federal Agencies to give them early notification of the Agreement.

X. OTHER:
If any Federal contract, grant or other agreement is reimbursing facilities and administrative costs by a means other than the approved rate(s) in this Agreement, the organisation should (1) credit such costs to the affected programs, and (2) apply the approved rate(s) to the appropriate base to identify the proper amount of facilities and administrative costs allocable to those programs.

By the Institution:

[Signature]

[Institution]

[Title]

[Date]

On Behalf of the Federal Government:

[Signature]

[Agency]

[Name]

[Title]

[Date]

[Telephone]

Tyra Tallie

(214) 767-3261
May 18, 2015

Mr. Doug Harrell
Vice President - Finance & University Controller
Tulane University
7029C Freret St.
New Orleans, LA 70118-5549

Dear Mr. Harrell:

A copy of a facilities and administrative cost (F&A) and fringe benefit (FB) Rate Agreement are being faxed to you for your signature. This Agreement reflects an understanding reached between your organization and a member of my staff concerning the FB rates that may be used to support your claim for these indirect costs on grants and contracts with the Federal Government.

Please have the Agreement signed by an authorized representative of your organization and fax or email to me, retaining the copy for your files. Our fax number is (214) 767-3264 and email address is CAS-Dallas@psc.hhs.gov. We will reproduce and distribute the Agreement to the appropriate awarding organizations of the Federal Government for their use.

In addition, the fixed fringe benefit cost rate(s) for the fiscal year ending June 30, 2015 are based on actual costs for the fiscal year ended June 30, 2013 and fixed fringe benefit cost rate(s) for the fiscal year ending June 30, 2016 are based on actual costs for the fiscal year ended June 30, 2014. The over-recovered (+) or under-recovered (-) amounts are listed below.

<table>
<thead>
<tr>
<th>Category</th>
<th>2013/2015</th>
<th>2014/2016</th>
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</thead>
<tbody>
<tr>
<td>Uptown Faculty</td>
<td>$488,045</td>
<td>($327,113)</td>
</tr>
<tr>
<td>Uptown Staff</td>
<td>$1,095,951</td>
<td>$795,542</td>
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<tr>
<td>Uptown Faculty Summer</td>
<td>($33,507)</td>
<td>($32,112)</td>
</tr>
<tr>
<td>HSC Faculty</td>
<td>($157,890)</td>
<td>($1,379,729)</td>
</tr>
<tr>
<td>HSC Staff</td>
<td>$389,690</td>
<td>$1,255,597</td>
</tr>
<tr>
<td>HSC Physicians</td>
<td>$196,664</td>
<td>$169,292</td>
</tr>
<tr>
<td>HSC FPP</td>
<td>$7,826</td>
<td>$139,324</td>
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<tr>
<td>HSC Residents</td>
<td>$137,219</td>
<td>($352,987)</td>
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<tr>
<td>Students and Part time</td>
<td>$629,121</td>
<td>($49,803)</td>
</tr>
<tr>
<td>Post Docs</td>
<td>($18,372)</td>
<td>$122,519</td>
</tr>
</tbody>
</table>

The fixed rates for fiscal year ending 2013 and 2014 are considered final.
Mr. Doug Harrell  
May 18, 2015  
Page 2

The fixed rates for fiscal year ending 2013 and 2014 are considered final.

A Fringe Benefit cost proposal, together with supporting information and the certified audit financial statement, is required each year. Thus, your next Fringe Benefit cost proposal based on actual costs for the fiscal year ending June 30, 2015 is due in our office by December 31, 2015. Your next facilities and administrative cost proposal based on actual cost for the fiscal year ending June 30, 2016 is due in our office by December 31, 2016.

Since this is an integral part of the Negotiation Agreement, please note your acceptance by signing in the space provided below.

Thank you for your cooperation.

Sincerely,
Arif M. Karim  
S  
Arif Karim  
Director  
Cost Allocation Services

Enclosures

ACCEPTANCE

Tulang University  
(Institution)

[Signature]

(Doug Harrell)  
(Name)

V. P. Finance  
(Title)

5/15/15  
(Date)
Official Notification
New Procedures for Submitting
College and University Facilities & Admin. Rate Proposals

This is to officially notify you that Cost Allocation Services (CAS) (formerly the Division of Cost Allocation) has implemented an automated Document Management and Workflow System (eFlow). The eFlow System is designed to capture Facilities & Administration (F&A) rate proposals (and if applicable, associated fringe rate proposals) along with other supporting documents electronically by email or scanning. CAS implemented this system to enhance the efficiency and productivity of our review process, in compliance with the Paper Reduction Act. Additionally, transitioning to eFlow eliminates barriers associated with paper documents in the new era of electronic transmission.

Effective immediately, all F&A rate proposals together with supporting information should be submitted electronically to our respective regional office at the following email address: CAS-Dallas@psc.hhs.gov.

The preferred electronic format is two separate PDF files, as follows:

1. Single PDF file titled “Proposal” containing the entire proposal, including the transmittal letter, checklists, required certification, and reconciliation/support schedules. This file should not include the supporting financial data (e.g. audited financial statements, Single Audit, etc.)

2. Separate single PDF file titled “Financial Statements”, containing the applicable financial data (e.g. audited financial statements, Single Audit, etc.) upon which the rates are based.

Note: If the organization proposes a fringe benefit rate, it should be submitted as a separate e-mail and separate proposal PDF attachment.

Due to our email server limitations, we cannot receive e-mails exceeding 25MB (including all attachments). Therefore, if the proposal and financial statements together exceed 25 MB, please mail a CD or flash drive containing the electronic files. This is the preferred option for very large proposals.

If you have any questions concerning the next submittal of your indirect cost rate proposal, or if you have any problems forwarding your documents electronically, please contact us at (214) 767-3261.
COLLEGES AND UNIVERSITIES RATE AGREEMENT

BIN: 1720423889A5
DATE: 05/18/2015
ORGANIZATION: Tulane Regional Primate Research Center
FILING REF.: The preceding
7029C Freret St
agreement was dated
New Orleans, LA 70118-5549
02/18/2014

The rates approved in this agreement are for use on grants, contracts and other
agreements with the Federal Government, subject to the conditions in Section III.

SECTION I: INDIRECT COST RATES

<table>
<thead>
<tr>
<th>RATE TYPES:</th>
<th>FIXED</th>
<th>FINAL</th>
<th>PROV. (PROVISIONAL)</th>
<th>PRED. (PREDETERMINED)</th>
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**EFFECTIVE PERIOD**

<table>
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<tr>
<th>TYPE</th>
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<th>TO</th>
<th>RATE (%)</th>
<th>LOCATION</th>
<th>APPLICABLE TO</th>
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<tr>
<td>PRED.</td>
<td>07/01/2010</td>
<td>06/30/2013</td>
<td>69.00</td>
<td>On Campus</td>
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<td>Amended</td>
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<td>conditions as those</td>
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</table>
Modified total direct costs, consisting of all salaries and wages, fringe benefits, materials, supplies, services, travel and subgrants and subcontracts up to the first $25,000 of each subgrant or subcontract (regardless of the period covered by the subgrant or subcontract). Modified total direct costs shall exclude equipment, capital expenditures, charges for patient care, student tuition remission, rental costs of off-site facilities, scholarships, and fellowships as well as the portion of each subgrant and subcontract in excess of $25,000.

(1) The “A” rate modified total direct cost (MTDC) base includes all primate center Federal and non-Federal direct research costs including all NCRR Core P-51 direct costs. The “A” rate is applied to all MTDC included in the “A” base.

(2) The “B” rate MTDC base includes all primate center Federal and non-Federal direct research expenditures. The “B” rate base should exclude all NCRR Core P-51 grant expenditures. The “B” rate is added to the “A” rate and is applied only to the direct research costs of Federal sponsored awards excluding the NCRR Core P-51 grant.
ORGANIZATION: Tulane Regional Primate Research Center
AGREEMENT DATE: 5/18/2015

SECTION I: FRINGE BENEFIT RATES**

<table>
<thead>
<tr>
<th>TYPE</th>
<th>FROM</th>
<th>TO</th>
<th>RATE(%) LOCATION</th>
<th>APPLICABLE TO</th>
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<tr>
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<td>FIXED</td>
<td>7/1/2014</td>
<td>6/30/2015</td>
<td>18.10 All</td>
<td>HSC Faculty</td>
</tr>
<tr>
<td>FIXED</td>
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<td>25.70 All</td>
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<td>6/30/2015</td>
<td>15.50 All</td>
<td>HSC Physicians</td>
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<td>6/30/2015</td>
<td>4.60 All</td>
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<td>6/30/2015</td>
<td>22.30 All</td>
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<td>21.30 All</td>
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<td>23.90 All</td>
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<td>6/30/2016</td>
<td>15.80 All</td>
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<td></td>
</tr>
</tbody>
</table>

** DESCRIPTION OF FRINGE BENEFITS RATE BASE:
Salaries and wages.
SECTION II: SPECIAL REMARKS

TREATMENT OF FRINGE BENEFITS:

The fringe benefits are charged using the rate(s) listed in the Fringe Benefits Section of this Agreement. The fringe benefits included in the rate(s) are listed below.

TREATMENT OF PAID ABSENCES

Vacation, holiday, sick leave pay and other paid absences are included in salaries and wages and are claimed on grants, contracts and other agreements as part of the normal cost for salaries and wages. Separate claims are not made for the cost of these paid absences.

OFF-CAMPUS DEFINITION: For all activities performed in facilities not owned by the institution and to which rent is directly allocated to the project(s), the off-campus rate will apply. Actual costs will be apportioned between on-campus and off-campus components. Each portion will bear the appropriate rate.

Equipment Definition -
Equipment means an article of nonexpendable, tangible personal property having a useful life of more than one year and an acquisition cost of $2,500 or more per unit.

FRINGE BENEFITS:

FICA
Retirement
Worker's Compensation
Life Insurance
Unemployment Insurance
Health Insurance
Early Retirement Payout
Tuition Remission
Sabbatical Leave
Termination Pay
Day Care Subsidy

Your next fringe benefit proposal based on actual costs for the fiscal year ending 06/30/15 is due in our office by 12/31/15. Your next indirect cost proposal based on actual costs for the fiscal year ending 06/30/16 is due in our office by 12/31/16.
SECTION III: GENERAL

A. LIMITATIONS.
The rates in this Agreement are subject to any statutory or administrative limitations and apply to a given grant, contract or other agreement only to the extent that funds are available. Acceptance of the rates is subject to the following conditions: (1) Only costs incurred by the organization were included in its facilities and administrative cost pools as finally accepted; such costs are legal obligations of the organization and are allowable under the governing cost principles; (2) The same costs that have been treated as facilities and administrative costs are not claimed as direct costs; (3) similar types of costs have been accorded consistent accounting treatment; and (4) The information provided by the organization which was used to establish the rates is not later found to be materially incomplete or inaccurate by the Federal Government. In such situations the rate(s) would be subject to renegotiation at the discretion of the Federal Government.

B. ACCOUNTING CHANGES.
This Agreement is based on the accounting system purported by the organization to be in effect during the Agreement period. Changes to the method of accounting for costs which affect the amount of reimbursement resulting from the use of this Agreement require prior approval of the authorized representative of the cognizant agency. Such changes include, but are not limited to, changes in the charging of a particular type of cost from facilities and administrative to direct. Failure to obtain approval may result in cost disallowances.

C. FIXED RATES.
If a fixed rate is in this Agreement, it is based on an estimate of the costs for the period covered by the rate. When the actual costs for this period are determined, an adjustment will be made to a rate of a future year(s) to compensate for the difference between the costs used to establish the fixed rate and actual costs.

D. USE BY OTHER FEDERAL AGENCIES.
The rates in this Agreement were approved in accordance with the authority in Title 2 of the Code of Federal Regulations, Part 200 (2 CFR 200), and should be applied to grants, contracts and other agreements covered by 2 CFR 200, subject to any limitations in A above. The organization may provide copies of the Agreement to other Federal Agencies to give them early notification of the Agreement.

E. OTHER.
If any Federal contract, grant or other agreement is reimbursing facilities and administrative costs by a means other than the approved rate(s) in this Agreement, the organization should (1) credit such costs to the affected program, and (2) apply the approved rate(s) to the appropriate base to identify the proper amount of facilities and administrative costs allocable to these programs.

BY THE INSTITUTION:
Tulane Regional Primate Research Center

[Signature]

[Name]

[Title]

5/18/2015

ON BEHALF OF THE FEDERAL GOVERNMENT:
DEPARTMENT OF HEALTH AND HUMAN SERVICES

[Signature]

[Name]

[Title]

5/18/2015

[Date]

NHS REPRESENTATIVE:
Tyra Tallie

Telephone: (214) 767-3261

Page 5 of 5
May 18, 2015

Mr. Doug Harrell  
Vice President - Finance & University Controller  
Tulane Regional Primate Research Center  
7029C Freret St.  
New Orleans, LA  70118-5549

Dear Mr. Harrell:

A copy of a facilities and administrative cost (F&A) and fringe benefit (FB) Rate Agreement are being faxed to you for your signature. This Agreement reflects an understanding reached between your organization and a member of my staff concerning the FB rates that may be used to support your claim for these indirect costs on grants and contracts with the Federal Government.

Please have the Agreement signed by an authorized representative of your organization and fax or email to me, retaining the copy for your files. Our fax number is (214) 767-3264 and email address is CAS-Dallas@psc.hhs.gov. We will reproduce and distribute the Agreement to the appropriate awarding organizations of the Federal Government for their use.

In addition, the fixed fringe benefit cost rate(s) for the fiscal year ending June 30, 2015 are based on actual costs for the fiscal year ended June 30, 2013 and fixed fringe benefit cost rate(s) for the fiscal year ending June 30, 2016 are based on actual costs for the fiscal year ended June 30, 2014. The over-recovered (+) or under-recovered (-) amounts are listed below.

<table>
<thead>
<tr>
<th>Category</th>
<th>2013/2015</th>
<th>2014/2016</th>
</tr>
</thead>
<tbody>
<tr>
<td>Uptown Faculty</td>
<td>$488,045</td>
<td>($327,113)</td>
</tr>
<tr>
<td>Uptown Staff</td>
<td>$1,095,951</td>
<td>$795,542</td>
</tr>
<tr>
<td>Uptown Faculty Summer</td>
<td>($33,507)</td>
<td>($32,112)</td>
</tr>
<tr>
<td>HSC Faculty</td>
<td>($157,890)</td>
<td>($1,379,729)</td>
</tr>
<tr>
<td>HSC Staff</td>
<td>$389,690</td>
<td>$1,255,597</td>
</tr>
<tr>
<td>HSC Physicians</td>
<td>$196,664</td>
<td>$169,292</td>
</tr>
<tr>
<td>HSC FPP</td>
<td>$7,826</td>
<td>$139,324</td>
</tr>
<tr>
<td>HSC Residents</td>
<td>$137,219</td>
<td>($352,987)</td>
</tr>
<tr>
<td>Students and Part time</td>
<td>$629,121</td>
<td>($49,803)</td>
</tr>
<tr>
<td>Post Docs</td>
<td>($18,372)</td>
<td>$122,519</td>
</tr>
</tbody>
</table>

The fixed rates for fiscal year ending 2013 and 2014 are considered final.
The fixed rates for fiscal year ending 2013 and 2014 are considered final.

A Fringe Benefit cost proposal, together with supporting information and the certified audit financial statement, is required each year. Thus, your next Fringe Benefit cost proposal based on actual costs for the fiscal year ending June 30, 2015 is due in our office by December 31, 2015. Your next facilities and administrative cost proposal based on actual cost for the fiscal year ending June 30, 2016 is due in our office by December 31, 2016.

Since this is an integral part of the Negotiation Agreement, please note your acceptance by signing in the space provided below.

Thank you for your cooperation.

Sincerely,

Arif M. Karim -S-

Arif Karim
Director
Cost Allocation Services

Enclosures

ACCEPTANCE

Tulane Regional Primate Research Center
(Institution)

[Signature]

[Name]

[Title]

[Date]
Official Notification

New Procedures for Submitting
College and University Facilities & Admin. Rate Proposals

This is to officially notify you that Cost Allocation Services (CAS) (formerly the Division of Cost Allocation) has implemented an automated Document Management and Workflow System (eFlow). The eFlow System is designed to capture Facilities & Administration (F&A) rate proposals (and if applicable, associated fringe rate proposals) along with other supporting documents electronically by email or scanning. CAS implemented this system to enhance the efficiency and productivity of our review process, in compliance with the Paper Reduction Act. Additionally, transitioning to eFlow eliminates barriers associated with paper documents in the new era of electronic transmission.

Effective immediately, all F&A rate proposals together with supporting information should be submitted electronically to our respective regional office at the following email address: CAS-Dallas@psc.hhs.gov.

The preferred electronic format is two separate PDF files, as follows:

1. Single PDF file titled “Proposal” containing the entire proposal, including the transmittal letter, checklists, required certification, and reconciliation/support schedules. This file should not include the supporting financial data (e.g. audited financial statements, Single Audit, etc.)

2. Separate single PDF file titled “Financial Statements”, containing the applicable financial data (e.g. audited financial statements, Single Audit, etc.) upon which the rates are based.

Note: If the organization proposes a fringe benefit rate, it should be submitted as a separate e-mail and separate proposal PDF attachment.

Due to our email server limitations, we cannot receive e-mails exceeding 25MB (including all attachments). Therefore, if the proposal and financial statements together exceed 25 MB, please mail a CD or flash drive containing the electronic files. This is the preferred option for very large proposals.

If you have any questions concerning the next submittal of your indirect cost rate proposal, or if you have any problems forwarding your documents electronically, please contact us at (214) 767-3261.