RESEARCH OVERSIGHT AT TULANE UNIVERSITY
PRESENTED BY BRIAN WEIMER
TULANE UNIVERSITY RESEARCH COMPLIANCE OFFICER

September 5, 2014
Role of Research Compliance Officer

- Resource to research community re. compliance with regulations and Tulane policies that relate to the conduct of research – please contact me with your questions!

- Training – Responsible Conduct of Research (RCR)
  - RCR Seminar Series – Spring 2015
  - Online: [http://tulane.edu/asvpr/responsible-conduct-in-research-training.cfm](http://tulane.edu/asvpr/responsible-conduct-in-research-training.cfm)

- Role on the compliance oversight committees:
  - Policy development
  - Review proposals for compliance with SOPs and regulations
  - Review and investigate research noncompliance; auditing
Role of the Research Compliance Officer

- Responds to Freedom of Information Act ("FOIA") requests
- Assists researchers with questions regarding the university’s compliance oversight committees
- Assists investigators in preparing for audits of research studies by sponsors or regulatory bodies (FDA, OHRP, etc.)
- Serves as the University’s Research Integrity Officer ("RIO") to process allegations of Research Misconduct
IRB

- Tulane’s Human Research Protection Office (HRPO); 504.988.2665; irbmain@tulane.edu; http://tulane.edu/asvpr/irb/index.cfm
- Tulane Human Research Protection Program SOPs: http://tulane.edu/asvpr/irb/policies.cfm
- Roxanne Johnson, Director: 504.988.2665; rjohnson@tulane.edu
- Ellen Dauchy, Interim Assistant Director: 504.988.2642; edauchy2@tulane.edu
  - Training opportunities
- Roberta McDuffie, IRB Chair, 504.988.0299; rmcduffi@tulane.edu
  - Clinical questions; CTU services
IACUC

- [http://tulane.edu/asvpr/iacuc/](http://tulane.edu/asvpr/iacuc/)

- IACUC SOPs:
  - [http://tulane.edu/asvpr/iacuc/index.cfm](http://tulane.edu/asvpr/iacuc/index.cfm)
    - Apply to all activities involving vertebrate animals

- Sheila Garrison, IACUC Director and Chair;
  - 504.988.6868; [sgarriso@tulane.edu](mailto:sgarriso@tulane.edu)

- Georgina Dobek, DVM, Director of Department of Comparative Medicine;
  - 504.988.5214; [gdobek@tulane.edu](mailto:gdobek@tulane.edu)

- Training
Institutional Biosafety Committee (IBC)

- The IBC reviews all activities involving rDNA and infectious agents, and assesses decontamination and biocontainment levels.

- IBC Policies: http://tulane.edu/asvpr/biosafety/committee/policies.cfm

- Lucy C. Freytag, PhD; IBC Chair; (504) 988-6772; lfreyta@tulane.edu

- Don Sibley, PhD; Biosafety Officer; (504) 988-0300; dsibley@tulane.edu – laboratory inspections and certification; http://tulane.edu/asvpr/biosafety/

- Training
The IRG meets bi-weekly and reviews the following activities:

- Sponsored International Research
- Un-sponsored International Research
- International Academic Activities. This includes:
  - TU faculty, staff, and students going abroad, and
  - Foreign Nationals coming to Tulane as students, faculty, or staff.
IRG facilitates the following international activities:

- International travel & personal baggage
- Project staffing
- Hiring foreign employees
- Deployment of University staff abroad
- Use of vehicles, equipment & assets abroad
- Establishing Tulane office overseas
- Memoranda of Understanding
- Opening in-country bank account
- Formal collaboration, services or cooperation with foreign governments
- International shipment of goods
- Export controls
- Research
- Independent Contractor Agreements
- Purchased Services Agreements
Before undertaking international activity:

- Complete and submit an International Preliminary Project Questionnaire ("IPPQ"): [http://tulane.edu/asvpr/ora/upload/IPPQ.pdf](http://tulane.edu/asvpr/ora/upload/IPPQ.pdf)
- Completion of the form allows the IRG to facilitate the international activity, such as ensuring compliance with export control regulations.
- **IRG Chair:** Wade Wooten, JD; Global Affairs & Regulatory Compliance; [wwootan@tulane.edu](mailto:wwootan@tulane.edu); 504.988.0598
A license from the Radiation Safety Committee must be obtained prior to the start of activity involving radiation.


Natalie Lonsberry, Radiation Safety Officer; 504.287.1132; nlonsber@tulane.edu
Research Misconduct

- Policies listed in the faculty handbook and on Research Compliance website: http://tulane.edu/asvpr/research-compliance.cfm

- Research Misconduct is defined as fabrication of data, falsification of data, and/or plagiarism.

- If you discover research misconduct, you are obligated to report it – contact the RCO for guidance.

- If you are accused of research misconduct, you are entitled to due process rights – contact the RCO for guidance.
Contact Information

- Research Compliance and Research Integrity Officer, Brian Weimer: 504.988.1147 (office) 504.261.6579 (mobile); bweimer1@tulane.edu; Suite 2425 of Tidewater Bldg. (1440 Canal Street); http://tulane.edu/asvpr/research-compliance.cfm

- Office Hours: 8:00 AM to 5:00 PM, M-F